PTO/SB/21 (05

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- FD 4316	<b></b>		Applic	ation Number	10/084,639
	SMITTAL	•	Filing	Date	February 25, 2002
FC	DRM		First N	amed Inventor	Hageman, Gregory S.
(to be used for all correspondence after initial filing)			Art Un	it	1644
			Exami	ner Name	Not yet assigned
Total Number of Pages in	n This Submission		Attorno	ey Docket Number	020618-000920US
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Fee Transmittal For	m	☐ Drawin	g(s)		After Allowance Communication to Group
Fee Attached		Licensi	ing-relate	d Papers	Appeal Communication to Board of Appeals and Interferences
Amendment/Reply		Petition	ו		Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
After Final			n to Conv ional App		Proprietary Information
Affidavits/declar	ation(s)			ey, Revocation espondence Address	Status Letter
Extension of Time Request		Terminal Disclaimer		mer	Other Enclosure(s) (please identify below):
Express Abandonment Request		Request for Refund CD, Number of CD(s)			Return Postcard, copies of one-hundred and eight (8) cited references
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Certified Copy of Pri Document(s)	iority	Rema	rks	The Commissioner is a Account 20-1430.	authorized to charge any additional fees to Deposit
Response to Missing					
Response to Mis under 37 CFR 1					
<del> </del>	SIG	NATURE O	F APPI	ICANT, ATTORNEY,	OR AGENT
Firm 1	Townsend and To				
or Individual	Scott L. Ausenhus	_	$\cap$	Reg. No	o. 42,271
		whi	人		
Date 03-24-04					
		CERTIFIC	ATE OF	TRANSMISSION/M	AILING
					h the United States Postal Service with sufficient postage a, VA 22313-1450 on the date shown below.
Typed or printed name	Nicole M. Wa	rtell			
Signature	all	han.	10		Date 3124 104

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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Attorney Docket No.: 020618-000920US
Client Reference No.: N8-80PAT3

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

On 3/24/O4

TOWNSEND and TOWNSEND and CREW LLP

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Gregory s. Hageman

Application No.: 10/084,639

Filed: February 25, 2002

For: DIAGNOSTICS AND

THERAPEUTICS FOR MACULAR

DEGENERATION-RELATED

**DISORDERS** 

Examiner: Not yet assigned

Art Unit: 1644

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT UNDER 37

CFR §1.97 and §1.98

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

The references cited on attached form PTO/SB/08A and PTO/SB/08B are being called to the attention of the Examiner. This application is a Continuation-in-part of and relies upon U.S. Patent Application No. 09/845,745 (Filed 04/30/01), which is a Continuation-in-part of U.S. Patent Application No. 09/510,230 (Filed 02/22/00), for an earlier effective filing date under 35 U.S.C. §120. In accordance with 37 CFR §1.98(d), copies of those references that have been previously submitted to or cited by the Office in the parent applications are not enclosed. Copies of references A1-4, B1, C6, C27 and C51 are enclosed. It is respectfully requested that the cited references be expressly considered during the prosecution of this application, and the

Gregory s. Hageman Application No.: 10/084,639

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references be made of record therein and appear among the "references cited" on any patent to issue therefrom.

As provided for by 37 CFR 1.97(g) and (h), no inference should be made that the information and references cited are prior art merely because they are in this statement and no representation is being made that a search has been conducted or that this statement encompasses all the possible relevant information.

Applicant believes that <u>no fee is required</u> for submission of this statement. However, if a fee is required, the Commissioner is authorized to deduct such fee from the undersigned's Deposit Account No. 20-1430. Please deduct any additional fees from, or credit any overpayment to, the above-noted Deposit Account.

Respectfully submitted,

Scott L. Ausenhus Reg. No. 42,271

TOWNSEND and TOWNSEND and CREW LLP Two Embarcadero Center, Eighth Floor San Francisco, California 94111-3834

Tel: 303-571-4000 Fax: 303-571-4321

SLA:nmb 60162821 v1

PTO/SB/08A (08-03) E PADE bstitute for form 1449A/PTO Complete if Known **Application Number** 10/084,639 INFORMATION DISCLOSURE Filing Date February 25, 2002 STATEMENT BY APPLICANT First Named Inventor Hageman, Gregory S. Art Unit 1644 (use as many sheets as necessary) **Examiner Name** Not yet assigned Sheet 1 of 5 020618-000920US Attorney Docket Number

	U.S. PATENT DOCUMENTS+						
		Document Number					
Examiner Initials*	Cite No. <sup>1</sup>	Number Kind Code <sup>2</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear		
	A1	US-5,686,598	11-11-1997	North et al.			
	A2	US-5,705,380	01-06-1998	North et al.			
	A3	US 2002-0102581 A1	8-1-2002	Hageman et al.			
	A4	US 2003-0207309 A1	11-6-2003	Hageman et al.			

	FOREIGN PATENT DOCUMENTS								
Examiner Cite		Foreign Patent Document			Name of Patentee or	Pages, Columns, Lines,			
Initials*	No.1	Country Code <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup> (if known)	Publication Date MM-DD-YYYY	Applicant of Cited  Document	Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>	
	B1	PCT	WO 97/380	04 A1	10-16-1997				
	B2								

Examiner	Date	
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PTO/SB/08B (08-03) Substitute for form 1449B/PTO Complete if Known Application Number 10/084,639 **INFORMATION DISCLOSURE** Filing Date February 25, 2002 STATEMENT BY APPLICANT First Named Inventor Hageman, Gregory S. Art Unit 1644 (use as many sheets as necessary) Examiner Name Not yet assigned Sheet Attorney Docket Number 020618-000920US

		NON PATENT LITERATURE DOCUMENTS	
Examiner Initials *	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	Τ²
	C1	Bird, A. "Age-related macular disease," Br. J. Ophthalmol., 80, 2-3, 1996.	
	C2	Bird, A. "Bruch's membrane change with age," Br. J. Ophthalmol., 763, 166-168, 1992.	
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	C6	CHEN, H. et al. "An immunologic study of age-related macular degeneration" <i>Yan Ke Xue Bao</i> , Sept. 1993, pp. 113-120, Vol. 9, No. 3	
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	C11	Eisner, A., et al. "Sensitivities in older eyes with good acuity: eyes whose fellow eye has exudative AMD," Invest. Ophthalmol. Vis. Sci., 28, 1832-1837, 1987.	
	C12	Elman, M., and Fine, S. "Exudative age-related macular degeneration," in S. Ryan (Ed.), The Retina (pp. 175-200). St Louis: CV Mosby, 1992.	
	C13	Esser et al. "The significance of vitronectin in proliferative diabetic retinopathy," Graefes Archive for Clinical and Experimental Ophthalmology, 232 (8) 477-81, 1994.	
	C14	Farkas, T., et al. "The histochemistry of drusen," Amer. J. Ophthalmol., 71, 1206-1215, 1971.	
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1	C16	Feeney-Burns, et al. "Lysosomal enzyme cytochemistry of human RPE, bruch's membrane and drusen," Invest. Ophthalmol. Vis. Sci., 28, 1138-1147, 1987.	

Examiner	Date	1
Signature	Considered	

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Applicant's unique citation designation number (optional). Applicant is to place a check mark here if English language Translation is attached.

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## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

5 Sheet

Complete if Known				
Application Number	10/084,639			
Filing Date	February 25, 2002			
First Named Inventor	Hageman, Gregory S.			
Art Unit	1644			
Examiner Name	Not yet assigned			
Attorney Docket Number	020618-000920US			

		NON PATENT LITERATURE DOCUMENTS	
Examiner Initials *	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T 2
	C17	Feeney-Burns, L. et al. "The fate of immunoreactive opsin following phagocytosis by pigment epithelium in human and monkey retinas," Invest. Ophthalmol. Vis. Sci., 29, 708-719, 1988.	
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	C21	Grossiklaus, et al. "Immunohistochemical and histochemical properties of surgically excised subretinal neovascular membranes in age-related macular degeneration," American Journal of Ophthalmology 114 (4), 464-72, 1992.	
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	C24	Holz, F., et al. "Analysis of lipid deposits extracted from human macular and peripheral bruch's membrane," Arch. Ophthalmol., 112, 402-406, 1994.	
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	C29	Klaver et al., "Genetic association of apolipoprotein E with age-related macular degeneration," Am J. of Human Genetics, 63 (1), 200-6, 1998.	
	C30	Lewis, H., et al. "Chorioretinal Juncture, multiple extramacular drusen," Ophthalmol., 93, 1098-1112, 1986.	
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	C33	Midena, E., et al. "Macular function impairment in eyes with early age-related macular degeneration," Invest. Ophthalmol. Vis. Sci., 38, 469-477, 1997.	
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	C38	PENFOLD, P. et al. "Modulation of major histocompatibility complex II expression in retinas with agerelated macular degeneration," Invest. Ophthalmol. Vis. Sci., 38, 2125-33, 1997.	
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	C42	Sarks, S. "Aging and degeneration in the macular region: a clinico-pathological study," Br. J. Ophthalmol., 60, 324-341, 1976.	
	C43	Sarks, S. "Drusen and their relationship to senile macular degeneration," Aust. J. Ophthalmol., 8, 117-30, 1980.	
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	C47	Sarks, S., et al. "Softening of drusen and subretinal neovascularization," Trans. Ophthalmol. Soc. U.K., 100, 414-422, 1980.	
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Examiner	Date	
Signature	Considered	
Cignature	Considered	

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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Sheet	5	of	5

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First Named Inventor	Hageman, Gregory S.			
Art Unit	1644			
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Attorney Docket Number	020618-000920US			

NON PATENT LITERATURE DOCUMENTS					
Examiner Initials *	1 1 (Section in Section ) Section of the Section of		T²		
	C49	Souied, et al. "The epilon4 allele of the apolipoprotein E gene as a potential protective factor for exudative age-related macular degeneration," Am. J. of Ophthalmology, 125 (3) 353-9, 1998.			
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	C54	Young, R. "Pathophysiology of age-related macular degeneration" Surv. Ophthalmol., 31, 291-306, 1987.			

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